위산 환경에서 피막형 스텐트의 물성 변화

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Physical Properties of Covered Stent in Gastric Acid Environment: *In Vitro* Study

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초록: 위장관 종양 조직이 스텐트 내로 성장하는 것을 방지하는 피막형 스텐트가 개발되어 널리 사용되고 있으나 위산에 의한 막의 분해로 인해 스텐트 폐쇄나 파손이 있다. 이에 본 연구에서는 위산 환경하에서 막의 성분과 재질 농도에 따른 피막형 스텐트의 물성 변화와 안정성을 살펴보고자 하였다. 스텐트 막의 재질은 실리콘과 폴리우레탄을 사용하였고, 각각의 농도를 15%, 18%, 20%로 하여 제작된 스텐트를 pH 1.2 산성 용액에서 18주 동안 3주 간격으로 변화를 관찰하였다. 피막을 분석한 결과 동일 농도에서 비교하였을 때 실리콘이 폴리우레탄보다 두껍고 균일하게 코팅되었다. 인공 위액에 의한 폴리우레탄 피막의 분해가 실리콘 피막에 비해 심하였다. 반경 방향 팽창력의 크기는 실리콘 피막이 폴리우레탄 피막에 비해 상대적으로 컸다. 반경 방향 팽창력과 변형 회복력 모두 인공 위액에서의 침잠 기간이 경과함에 따라 점차 감소하였고, 폴리우레탄 피막 스텐트에서 감소율이 더 컸다. 결론적으로 실리콘 피막이 폴리우레탄에 비해 위산에 대해 안정성이 높음을 알 수 있었다.

Abstract: In membrane covered stent, occlusion and fracture from membrane degradation by gastric acid sometimes occurred. Therefore, we investigated the physical properties of membrane covered stent according to its ingredient and concentration in gastric acid environment. Membrane covered stents consisted of silicone and polyurethane with 15%, 18%, 20% concentrations were used. After incubating stents in a condition of pH 1.2, we checked any changes at every 3 weeks for 18 weeks. The changes of membrane surface, radial expansion and recovery force of stent were investigated. Coating thickness increased proportionally to an increase in ingredient concentration. Surface was evenly coated with silicone compared to the case with polyurethane and its homogeneity was excellent in a high concentration. Degradation was much severe in the case of polyurethane. The radial force of silicone was higher than polyurethane, and the decrease of radial and recovery force was higher in the case of polyurethane. In conclusion, high concentration of silicone membrane was more stable than polyurethane in acid environment of *in vitro* study.

Keywords: covered stent, physical property, gastric acid, silicone, polyurethane.

Introduction

Stenting in the upper gastrointestinal (GI) tract is generally

considered a conservative treatment for strictures associated with malignancies in the stomach, esophagus, biliary tract, and pancreas; this approach is the preferred method when radical surgery is not indicated.¹

Its application has recently included benign strictures caused by complications of peptic ulcer, reflux esophagitis, the sur-

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ger of the GI tract, and the ingestion of the corrosive drugs.²

Plastic stents were initially used in this procedure but were later replaced by self-expandable metal stents (SEMSs) in the late 1980s, after being proven to have a superior clinical efficacy, with its ease in insertion and positioning and low risk of tissue damage during insertion. SEMSs are available in two forms, depending on its covering: membrane covered and membrane uncovered.¹ Membrane-uncovered stents have the advantage of good adhesion and easy maneuvering, although it also presents the risk of tumor ingrowth within the stent, which may then lead to stent occlusion. Membrane covered stents were developed to address these drawbacks and are replacing uncovered stent. These membrane-covered stents contain a layer of metal alloy wire coated with a thin membrane of silicone or polyurethane. Although these stents preventing tumor ingrowth into the metal alloy wire and is easily removable, there is an increased tendency for stent migration.

The ideal features of an effective membrane-covered stent include excellent biocompatibility and long-term potency, which is largely influenced by its durability against various biochemical and mechanical stressors present in the insertion area. However, most stents, including membrane-covered stents, are associated with fistula recurrence or stent fracture, and these are attributable to the change of physical properties of membrane.³⁻⁶ Two major factors associated with membrane degradation of the stent have been related to this occurrence: (1) Biochemical damages caused by strongly acidic gastric juice and bile, as well as pancreatic enzymes, and (2) Mechanical damage to the stent due to peristaltic movement of the GI tract or the pressure exerted by the surrounding tissues.⁷

Silicone, polyurethane, and e-PTFE (expanded polytetrafluoroethylene) have been mainly used as stent coating materials and different membrane-covered stents are currently available. To select the optimal stent for long-term functional maintenance of a specific lesion, it is essential to understand the properties of the stents based on its material and production, in relation to the anatomic structure of stricture area. However, a comparative analysis of the advantages and disadvantages of each stent type is limited, and thus, most clinicians base the selection of stents on their individual experiences.⁸

This study examined the coating thickness of the SEMS that were coated with silicone and polyurethane of different material concentrations and observe the changes in physical properties including membrane degradation after immersing it in simulated enzyme-free gastric acid. These *in vitro* experiments allowed us to monitor changes in the stability and physical

characteristics of membrane-covered SEMS in the gastric acid environment in relation to the membrane composition and material concentration.

Experimental

Materials and Method. Hanaro biliary stents were supplied by M.I.Tech Co. (Seoul, Korea). Polyurethane (Pellethane 2363-80AE) and silicone (MED-6640) were purchased from Dow chemicals (Korea) and Uusil technology (USA), respectively. Tetrahydrofuran (THF) was obtained from Sigma Co. (USA). All reagents used were of extra pure reagent grade without any need for further purification. A 0.14-mm nitinol (nickel and titanium alloy) shape memory alloy wire was woven into a SEMS of 10-mm diameter and 80-mm length and coated with various concentrations (15, 18, and 20%(w/w)) of silicone and polyurethane as the cover material. Polyurethane and silicone membranes were fabricated using the dip coating method. Polyurethane and silicone were dissolved in THF and then vigorously stirred to obtain a homogenous membrane coating solutions. Dip coated stents were dried at 60 °C for 24 hr. Seven stents of each type were manufactured to yield 42 covered SEMS.

Simulated Gastric Acid Environment. Simulated gastric acid (pH 1.2; pH adjusted using HCl) was prepared according to the liquid processing method for dissolution testing described in the Korean Pharmacopoeia, 7th edition. Briefly, 2 g of NaCl was dissolved in 7 mL of concentrated hydrochloric acid; the mixture was then diluted to 1 L with water. Each type of membrane-covered stent was then immersed in the simulated gastric acid at 37 °C and 100 rpm. The stents were collected at 3-week intervals (0, 3, 6, 9, 12, 15, and 18 weeks) and examined for any structural changes.

Assessment of Changes on the Membrane Surfaces. Sampling of the silicone and polyurethane stents was conducted at 0, 9, and 18 weeks. The membranes were peeled off, cut into 1×1 cm squares, and subjected to gold coating for analysis by using a scanning electron microscope (SEM, SNC-3000M, SEC Co.) with a total magnification of 2000×.

Assessment of Changes in Physical Properties Caused by Decomposition. Measurement of Radial Expansion Forces: To measure the expansion force exerted on the stricture area from the lumen where the stent is positioned, a push-pull gauge (FGS-50V-H FGC-2, NIDEC-SHIMPO Corp., Japan) was used. At a room temperature of 24 °C, we measured the force by pressing the cylinder head (diameter: 30 mm) to the

point of 1/2 of the stent diameter at the speed of 5 mm/min.

Measurement of Recovery Force: To measure the force of the stent to recover its original straight shape after it was positioned in the form of a curved body lumen, a universal testing machine (3344 model, Instron Inc., Norwood, MA, USA) was employed. At a room temperature of 24 °C, we measured the recovery force of a stent that was placed on a platform with a span length of 22.5 mm and deliberately bent at a speed of 5 mm/min (3-point bending test).

Results and Discussion

Comparison of Coating Thickness of Silicone/Polyurethane-covered Stent (Table 1). The mean thickness of stents made up of 15% silicone concentration (N = 7 specimens) was measured at $121.9 \pm 1.6 \mu\text{m}$, whereas the 18% and 20% silicone stents measured 126.6 ± 1.0 and $131.2 \pm 1.2 \mu\text{m}$, respectively; these results showed a positive correlation between coating thickness and material concentration. On the other hand, polyurethane stents at concentrations of 15, 18, and 20% resulted in mean coating thicknesses of 109.4 ± 1.0 , 115.4 ± 1.0 , $119.5 \pm 1.6 \mu\text{m}$, respectively. These comparative measurements of various concentrations indicated that the silicone coating was thicker than the polyurethane coating.

Changes in the Surface of Stent Membranes. After immersing the covered stents in simulated gastric acid (pH 1.2) for 18 weeks, changes in the surface of the stent membranes were examined using a SEM (Figures 1-3).

Comparison of stent membranes with varying silicone concentrations before immersion in simulated gastric acid (baseline; 0 week) revealed that the 20% silicone membrane showed the best surface uniformity. The membrane of 20% silicone shows regular surface and minimal change of degradation in acid environment, compared to 15% and 18% silicone. Sim-

Figure 1. Temporal change of covered stent in simulated gastric acid.

Figure 2. Scanning electron microscope (SEM) images of silicone membrane.

Figure 3. Scanning electron microscope (SEM) images of polyurethane membrane.

Table 1. Coating Thickness of Covered Stent according to the Membrane Composition and Material Concentration

Polymer	Concentration (%)	Coating thickness (μm)
Silicone	15	121.9 ± 1.6
	18	126.6 ± 1.0
	20	131.2 ± 1.2
Polyurethane	15	109.4 ± 1.0
	18	115.4 ± 1.0
	20	119.5 ± 1.6

ilarly, polyurethane membrane of high concentration is more homogeneous and resistant to acid environment than those of low concentration and the 20% polyurethane membrane also showed superior surface uniformity. However, comparison of silicone and polyurethane membranes at the same concentrations showed that silicone coating showed a higher level of uniformity. Surface roughness increased in all stent membranes as the immersion time in simulated gastric acid was prolonged. However, relatively smaller surface changes were observed in the silicone membranes than in the polyurethane membranes.

Radial Expansion Force and Recovery Force of Stent Membranes. Baseline measurements of the radial expansion force of 15, 18, and 20% silicone membranes were 392.7 ± 2.5 , 398.0 ± 3.0 , and 405.0 ± 3.0 gf, respectively, whereas those for 15, 18, and 20% polyurethane membranes were 369.7 ± 2.5 , 375.0 ± 3.0 , and 382.3 ± 2.5 gf, respectively. A positive correlation was observed between material concentration and radial expansion force (Figure 4). Silicone stents showed a higher expansion force than that by polyurethane stents at the same concentrations. As the immersion time in simulated gastric acid increased, the expansion force decreased accordingly at all concentrations. However, the rate of decrease in radial expansion force was 4.58% for the 15% silicone stent and 5.60% for 15% polyurethane, whereas it was 0.99% for the 20% silicone stent and 1.65% for the 20% polyurethane stent, indicating that the rate of decrease in radial expansion force was greater for lower material concentration (Figure 5).

At baseline, the recovery force of the 15% polyurethane stent was measured at 38.2 ± 0.2 gf, which was the lowest value

among all conditions (Figure 6). The recovery force of 15% silicone stent was 38.5 ± 0.5 gf, which was slightly higher than that of its polyurethane counterpart. The recovery forces of the 18% polyurethane stent and 18% silicone stent were 38.9 ± 0.1 and 39.1 ± 0.1 gf, respectively whereas those for the 20% polyurethane stent and 20% silicone polyurethane were 40.0 ± 0.4 and 40.3 ± 0.2 gf, respectively. These measurements indicated no significant differences in recovery force between the 2 stents at the same concentrations.

The recovery force measurements of the 15% polyurethane stents after immersion in simulated gastric acid for 6, 12, and 18 weeks were 38.2 ± 0.4 , 37.9 ± 0.1 , and 37.0 ± 0.5 gf, respectively, indicating a slight but continuous decrease over time. A similar trend was also observed in stents of different con-

Figure 5. Ratio of decrease in the radial expansion force of stent from baseline (0 week) to 18 weeks according to the membrane composition and material concentration.

Figure 4. Radial expansion force of stent membranes according to material concentration.

Figure 6. Recovery force of stent membranes according to material concentration. The recovery force of silicone membrane is not different from polyurethane membrane significantly.

Figure 7. Rate of decrease in the recovery force of stent from baseline (0 week) to 18 weeks according to the membrane composition and material concentration.

centrations.

The decrease in the rate of recovery force over the 18-week of experiment was 3.12% in the 15% silicone stents and 3.14% in the 15% polyurethane stents, whereas in the 20% silicone stent, the rate was 0.50% and in 20% polyurethane stents, it was 0.75%. These results indicate that the decrease in the rate of recovery increases as the concentration of the coating material decreases (Figure 7).

Membrane-covered GI stents were developed were developed to prevent stent occlusion due to tumor ingrowth, by coating metal alloy wires with a polymeric membrane.^{9,10} However, there are few comparative studies of the efficacy of coating membranes such as silicone, polyurethane, and e-PTFE according to its composition or material concentration.^{11,12} Therefore, the aim of the present study was to examine the physical changes that occur in membrane-covered stents when exposed to gastric acid for approximately 18 weeks.

Silicone and polyurethane are the most widely used coating materials for stents. Silicone is a synthetic rubber that has properties of high thermal and chemical stability.¹³ The properties of silicone, such as heat resistance, electrical insulation, hydrophobicity, non-volatility, and viscoelasticity, largely contribute to its biocompatibility and biodurability. Additionally, it has a very low surface tension, surface energy, and intermolecular interactions, thus rendering it chemically stable. Despite such stable surface characteristics, silicone tends to chemically degrade when exposed to a strong catalyst, resulting in its depolymerization. When silicone is affixed in the stomach for an extended period of time, its exposure to gastric acid often results in its chemical degradation.¹⁴ Moreover,

although it is stable in an aqueous environment, degradation may still occur when in contact with fatty or non-polar substances, due to its lipophilic property. McHenry *et al.* reported incidences of *in vivo* degradation of silicone containing prosthetic heart valves and valve insufficiencies caused by the lipid components of blood.¹⁵

Polyurethane is a polymer consisting of urethane molecules as its skeleton.¹⁶ This synthetic resin offers a wide range of applications, including soft sponges, roller-skate wheels, shoe soles, faux leather, and skis. Its elasticity is far superior over all other synthetic rubber materials; it is highly resistant to abrasion and possesses excellent adhesion strength to blood and body tissues, thus it has been widely used as medical raw material for various medical tools such as artificial valves, blood vessels, and heart.

As elucidated above, both silicone and polyurethane are considered as the most popular biomaterials due to their excellent biocompatibility; however, these materials tend to undergo degradation when situated within a gastric acid environment. In addition, the comparative study of the coating composition or its concentration in the acid environment is not known yet. This study, thus, examined the gastric acid-induced degradation processes of both materials in terms of surface morphology and mechanical characteristics.

Changes in surface morphology of silicone- and polyurethane-covered stents immersed in simulated gastric acid (pH 1.2) for 18 weeks were examined using SEM. Our results showed that a high degree of degradation occurred among low-concentration materials. In addition, polyurethane membranes showed a higher degradation rate than that by silicone membranes, thus, confirming that polyurethane membrane is more vulnerable in simulated gastric acid environment than silicone membrane.

Several studies have shown the degradation of polyurethane membrane. Jung *et al.*³ reported an 8% tumor ingrowth rate (3 out of 39 cases). Kim *et al.*⁷ reported that the degradation rate of e-PTFE membrane was 1% (1 out of 74 cases), whereas that of polyurethane membrane was 14% (13 out of 92 cases), thus, demonstrating the vulnerability of polyurethane membrane. Kim *et al.*¹⁴ also identified factors that influenced more membrane degradation, including stricture location (stomach or anastomosis > esophagus), membrane material (polyurethane > PTFE), and the length of time after stent insertion (36 days or more > 35 days or less). In other study on the stability of the polyurethane membrane to the bile, pits and cracks on the membrane surface developed 2 weeks after its immersion in

bile acids.

Changes in the mechanical properties of the stents were monitored in terms of radial expansion force and recovery force.¹⁷⁻²⁰ Although shortening ratio and radiopacity are mechanical features associated with stent insertion process, radial expansion force and recovery force are important factors associated with the stent patency and dysfunction after its insertion.²¹

The extent of radial expansion force mainly depends on the material type and thickness of the metal alloy wire, number of wire bends, and method of weaving the wire. If the radial expansion force is weaker than the pressure of the tissues surrounding the stricture area, the stent fails to achieve its expected expansion, which may then lead to stent migration or occlusion. On the other hand, excessive force can compress the adjacent tissues, thus, causing chest pain, bleeding, perforation, or pressure necrosis.⁸ To prevent stent migration and maintain patency, the radial expansion force should be maintained at an appropriate level.

Recovery force, also known as axial force, is the force exerted by a bent stent to restore its original straight form; this force involves the nature of going back to the original state after stent insertion in a curved body lumen. It affects the conformability of the stent, allowing it to match the curves of upper GI tract including esophagus, stomach, duodenum, and bile duct; thus, the conformability of a stent increases as the recovery force decreases. An excessively strong recovery force often results in dysfunctional stents, including those observed as stent kinking, stent migration, or causing injury to the adjacent tract inner wall and production of bile sludge.²¹ The ideal stent, thus, possesses a strong radial expansion force and a weak recovery force.

The results of this study revealed that the radial expansion force of silicone and polyurethane measured at baseline (0 week) increased with higher concentration of the materials (silicone stent: 392.7 ± 2.5 gf [15%], 398.0 ± 3.0 gf [18%], 405.0 ± 3.0 gf [20%]; polyurethane stent: 369.7 ± 2.5 gf [15%], 375.0 ± 3.0 gf [18%], 382.3 ± 2.5 gf [20%]). The comparison of radial expansion forces by using the same material concentrations revealed that silicone stents were higher by 6.0-6.2% than that of polyurethane stents.

Monitoring changes in both materials immersed in simulated gastric acid over time showed that the expansion force decreased in both silicone and polyurethane stents. Over a period of 18 weeks, silicone stents showed a less decrease in the rate of radial expansion force in 15% material concen-

tration than in the polyurethane (4.58% vs. 5.60%). Similar trends were observed with 18 and 20% material concentrations (2.44% vs. 2.56% and 0.99% vs. 1.65%, respectively). Our results showed that when the material concentration was high, the reduction in the rates of radial expansion force was less. The recovery force at baseline was 38.2 ± 0.2 gf in 15% polyurethane stent and 38.5 ± 0.5 gf in 15% silicone stent; the difference in recovery force between the two was 0.79%. The differences in recovery force in the 18 and 20% concentrations were 0.50 and 0.75%, respectively. The recovery force of the polyurethane membrane stent was slightly weaker, although not significant. During immersion in simulated gastric acid, the recovery force of each stent continuously decreased. During the 18-week experimental period, the decrease in the rates of recovery force were 3.12 and 3.14% in the 15% silicone and polyurethane stents, whereas the rates were 0.5 and 0.75% in the 20% silicone and polyurethane stents, respectively, showing that the decrease in the rates were slightly lower in the silicone stents than in the polyurethane stents. Thus, when the material concentration was high, the decrease in the rates of the recovery force was less. However, in the case of the measured recovery force presented the experimental results, the changes in the physical properties of material which is exposed to the acidic solution was minimal considering the deviation. Therefore, a statistically significant result did not come out.

The silicone membrane showed a better radial expansion force and similar recovery force. Both materials continuously lost their radial expansion force and recovery force while immersed in simulated gastric acid over time. This may be attributable to progressive membrane degradation, which impairs the mechanical properties of the stents. The decrease in the radial expansion force was more significant in the polyurethane membranes throughout the 18-week period; this may be attributable to the relative susceptibility of polyurethane membrane to the gastric acid.

The limitations of the present study in investigating the degradation and changes in the mechanical properties of covered stents in a gastric acid environment include the following. First, this *in vitro* experiment could not sufficiently reflect the *in vivo* changes that might occur on the stents. In addition to the degradations caused by the acidic gastric juice, the upper GI tract stent is exposed the degradation risk caused by bile and pancreatic digestive enzymes. In addition, the durability of the membrane may also be affected by mechanical damages caused by the peristaltic movement of the GI tract and the pressure exerted by the tissues surrounding the inserted stent.

Second, the 18-week duration of the experiment was insufficient to determine subsequent changes to the stents. Third, the e-PTFE material, which is also widely used for membrane production along with silicone and polyurethane, was excluded from the scope of this study.

Conclusions

Our study showed a positive correlation between coating thickness and material concentration. In addition, the membrane surface of silicone-coated stents showed a higher level of uniformity compared to polyurethane-covered stents; surface uniformity also improved as the concentration level of the material was increased. Silicone showed a higher radial expansion force, although no significant differences in the recovery force between the two materials were observed. Immersion of stents in simulated gastric acid gradually resulted in a reduction in its mechanical properties; more significant changes were observed in polyurethane stents. The results of this study, thus, verify the high level of stability of high-concentration silicone membranes in simulated gastric acid, which may be verified forward *in vivo* experiments. It also needs more quantitative experiment for degradation of SEMS in future study.

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